



ADMINISTRATION FOR
CHILDREN & FAMILIES

330 C Street SW, Washington DC 20024 www.acf.hhs.gov

Office of Child Care Monitoring Results Letter

Laura Howard, Secretary
Kansas Department for Children and Families
555 S. Kansas Avenue, 4th Floor
Topeka, KS 66603

Dear Secretary Howard,

This letter summarizes the results of the Department of Health and Human, Administration for Children and Families, Office of Child Care's (OCC) FFY 2025-2027 monitoring visit conducted March 31-April 2, 2026.

The OCC monitoring process is designed to confirm that the Child Care and Development Fund (CCDF) is appropriately used to support eligible families and helps ensure all children are cared for by approved providers in environments that are healthy and safe, in accordance with the Child Care and Development Block Grant (CCDBG) Act, CCDF regulations, and the state's approved CCDF Plan.

We would like to thank you and your staff for your engagement throughout the monitoring process. The OCC monitoring team appreciated learning about Kansas' systems and processes during the onsite data collection sessions

The FFY 2025- 2027 monitoring cycle evaluated five key areas:

- Eligibility and Enrollment
- Equal Access
- Health and Safety
- Comprehensive Background Checks
- Program Integrity and Accountability

The Kansas CCDF program demonstrated compliance under:

- Eligibility and Enrollment: Continuity of Care
- Equal Access: Payment Practices to Providers; and Affordability
- Health and Safety: Pre-Licensure Inspections
- Program Integrity and Accountability: Effective Internal Controls; and Fraud Investigation, Payment Recovery, and Sanctions

Monitoring did not identify any additional non-compliant requirements for Kansas.

Detailed findings are provided in the attached documents:

- **Preliminary Compliance Summary:** Lists the monitored program areas, requirements, and compliance status for each item. Items marked “Plan Non-Compliance” were identified during the OCC’s FFY 2025-2027 CCDF Plan review and were not monitored on-site.
- **CCDF Regulatory Language for Monitoring Scope:** Provides the full CCDF regulatory text for reference.

Full compliance with the CCDBG Act and CCDF regulations is essential to achieving program goals. OCC will collaborate with your agency to resolve existing non-compliance issues.

Should you or your staff have any questions, please contact Doris Hallford, Regional Program Manager, Office of Child Care at (816) 426-2264 or doris.hallford@acf.hhs.gov.

Sincerely,

Wendy S. Horman
Director, Office of Child Care

Enclosed: FFY 2025-2027 Monitoring Findings Report: Preliminary Compliance Summary
CCDF Regulatory Language for Monitoring Scope

CC: Christie Smith, Director, Office of Early Childhood
Carla Whiteside-Hicks, EES Director, Kansas Department for Children and Families
Nichelle Adams, Social Services Program Collaboration Deputy Director, Kansas Department for Children and Families
Doris Hallford, Regional Program Manager, Office of Child Care
Gretchen Rauch-Herron, Regional Program Specialist, Office of Child Care
Tressa Roecker, Program Development Coordinator, Office of Child Care

Kansas FY 2025-2027 MONITORING FINDINGS REPORT: PRELIMINARY COMPLIANCE SUMMARY

The information below provides a summary of the monitoring review findings indicating the State's compliance status with the Child Care and Development Fund (CCDF) requirements within the monitoring scope for this plan period. Preliminary Compliance Determinations are issued by CCDF program area and requirement. For example, Eligibility and Enrollment is the program area, and Continuity of Care is the requirement. The provisions listed under each requirement are reasons for the lead agency's compliance status. Please note that "Plan Non-Compliance" refers to a non-compliance identified through OCC's FFY2025-2027 plan review and approval process and that provision was not monitored.

Eligibility and Enrollment: Continuity of Care (12-Month Eligibility)		Preliminary Compliance Determination: Compliant
Provision	Provision Description	Finding
98.21(a)(1)	12-month Eligibility and Policies for Temporary Changes	Compliant
98.21(h)(1)	Family Reporting Policies: Required Change Reporting During the Minimum 12-month Eligibility Period	Compliant
98.21(h)(2)	Family Reporting Policies: Additional Change Reporting Requirements During Min. Eligibility Period	Compliant
98.21(h)(4)(ii)	Family Reporting Policies: Req. Around Reported Info. that Increases Copayment or Decreases Subsidy	Compliant

Equal Access: Payment Practices		Preliminary Compliance Determination: Compliant
Provision	Provision Description	Finding
98.45(m)(1)	Prospective Payments	Compliant
98.45(m)(2)	Enrollment-based Payments	Compliant

Equal Access: Affordability		Preliminary Compliance Determination: Compliant
Provision	Provision Description	Finding
98.21(a)(3)	Co-payment Amounts within 12-Month Eligibility Period	Compliant
98.21(h)(4)(i)	Maintaining Services and Affordability	Compliant

Health and Safety: Prevention and Control of Infectious Diseases		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding

Source: OCC Monitoring System

For technical assistance, please contact the OCC Monitoring Technical Team at occms-support@acf.hhs.gov.

98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Prev. & Control of Infect. Diseases (incl Imm)	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Prev. & Control of Infect. Diseases (incl. Imm)	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: Prev. & Control of Infect. Diseases (incl. Imm)	Plan Non-Compliance

Health and Safety: Sudden Infant Death Syndrome and Safe Sleep		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: SIDS and Safe Sleep	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: SIDS and Safe Sleep	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: SIDS and Safe Sleep	Plan Non-Compliance

Health and Safety: Administration of Medication		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Medication Administration	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Medication Administration	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: Medication Administration	Plan Non-Compliance

Health and Safety: Prevention and Response to Emergencies from Food and Allergic Reactions		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Food and Allergic Reactions	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Food and Allergic Reactions	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: Food and Allergic Reactions	Plan Non-Compliance

Source: OCC Monitoring System

For technical assistance, please contact the OCC Monitoring Technical Team at occms-support@acf.hhs.gov.

Health and Safety: Building and Physical Premises Safety		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Building and Physical Premises	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Building and Physical Premises	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: Building and Physical Premises	Plan Non-Compliance

Health and Safety: Prevention of Shaken Baby Syndrome, Abusive Head Trauma, and Child Maltreatment		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: SBS, AHT, and Child Maltreatment	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: SBS, AHT, and Child Maltreatment	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: SBS, AHT, and Child Maltreatment	Plan Non-Compliance

Health and Safety: Emergency Preparedness and Response Planning		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Emergency Prep. and Response Planning	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Emergency Prep. and Response Planning	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: Emergency Prep. and Response Planning	Plan Non-Compliance

Health and Safety: Handling and Storage of Hazardous Materials and Disposal of Biocontaminants		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Hazardous Materials and Biocontaminants	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Hazardous Materials and Biocontaminants	N/A

Source: OCC Monitoring System

For technical assistance, please contact the OCC Monitoring Technical Team at occms-support@acf.hhs.gov.

98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: Hazardous Materials and Biocontaminants	Plan Non-Compliance
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Health and Safety: Precautions in Transporting Children		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Transporting Children	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Transporting Children	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: Transporting Children	Plan Non-Compliance

Health and Safety: Pediatric First Aid and Pediatric CPR		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Pediatric First Aid and CPR	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Pediatric First Aid and CPR	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: Pediatric First Aid and CPR	Plan Non-Compliance

Health and Safety: Identification and Reporting of Child Abuse		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: CA/N Recognition and Reporting	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: CA/N Recognition and Reporting	N/A
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement: CA/N Recognition and Reporting	Plan Non-Compliance

Health and Safety: Inspection of In-Home Care		Preliminary Compliance Determination: N/A
Provision	Provision Description	Finding

Source: OCC Monitoring System

For technical assistance, please contact the OCC Monitoring Technical Team at occms-support@acf.hhs.gov.

98.42(b)(2)(iv)(B)	In-home Provider Inspections	N/A
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Health and Safety: Ratios and Group Size for CCDF Providers		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Ratio and Group Size	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Ratio and Group Size	N/A

Health and Safety: Fire Standards		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers: Fire Standards	Plan Non-Compliance
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers: Fire Standards	N/A

Health and Safety: Pre-License Inspection		Preliminary Compliance Determination: Compliant
Provision	Provision Description	Finding
98.42(b)(2)(i)(A)	Pre-licensure Inspection for Licensed Providers	Compliant

Health and Safety: Posting Inspection Reports		Preliminary Compliance Determination: Plan Non-Compliance
Provision	Provision Description	Finding
98.33(a)(4)	Full Monitoring and Inspection Reports Posted Online for all Eligible and Licensed Providers	Plan Non-Compliance

Comprehensive Background Check: Pre-Service Check Requirements (Provisional Hire)		Preliminary Compliance Determination: N/A
Provision	Provision Description	Finding
98.43(d)(4)	Background Check: Provisional Hire	N/A

Source: OCC Monitoring System

For technical assistance, please contact the OCC Monitoring Technical Team at occms-support@acf.hhs.gov.

Program Integrity: Effective Internal Controls		Preliminary Compliance Determination: Compliant
Provision	Provision Description	Finding
98.68(a)(2)	Identification of Risk	Compliant
98.68(a)(3)	Child Care Provider and Program Administration Staff Training on Program Requirements and Integrity	Compliant
98.68(a)(4)	Evaluation of Internal Control Activities	Compliant
98.68(c)	Documenting and Verifying Child Eligibility	Compliant

Program Integrity: Fraud Investigation, Payment Recovery, and Sanctions		Preliminary Compliance Determination: Compliant
Provision	Provision Description	Finding
98.68(b)(1)	Identifying Fraud or Other Program Violations	Compliant
98.68(b)(2)	Fraud Investigation, Payment Recovery, and Sanctions	Compliant

Source: OCC Monitoring System

For technical assistance, please contact the OCC Monitoring Technical Team at occms-support@acf.hhs.gov.

CCDF Regulatory Language for Monitoring Scope

This attachment provides the CCDF regulatory language for the provisions included in FFY 2025-2027 monitoring.

Eligibility and Enrollment: Continuity of Care	
Provision	Provision Description
98.21(a)(1)	12-month Eligibility and Policies for Temporary Changes
Regulatory Language	
<p>A Lead Agency shall re-determine a child's eligibility for child care services no sooner than 12 months following the initial determination or most recent redetermination, subject to the following: (1) During the period of time between determinations or redeterminations, if the child met all of the requirements in §98.20(a) on the date of the most recent eligibility determination or redetermination, the child shall be considered eligible and will receive services at least at the same level, regardless of: (i) A change in family income, if that family income does not exceed 85 percent of SMI for a family of the same size; or (ii) A temporary change in the ongoing status of the child's parent as working or attending a job training or educational program. A temporary change shall include, at a minimum: (A) Any time-limited absence from work for an employed parent due to reasons such as need to care for a family member or an illness; (B) Any interruption in work for a seasonal worker who is not working between regular industry work seasons; (C) Any student holiday or break for a parent participating in training or education; (D) Any reduction in work, training or education hours, as long as the parent is still working or attending training or education; (E) Any other cessation of work or attendance at a training or education program that does not exceed three months or a longer period of time established by the Lead Agency; (F) Any change in age, including turning 13 years old during the eligibility period; and (G) Any change in residency within the State, Territory, or Tribal service area.</p>	
Provision	
Provision	Provision Description
98.21(h)(1)	Family Reporting Policies: Required change reporting during the minimum 12-month eligibility period
Regulatory Language	
<p>The Lead Agency shall specify in the Plan any requirements for parents to notify the Lead Agency of changes in circumstances during the minimum 12-month eligibility period, and describe efforts to ensure such requirements do not place an undue burden on eligible families that could impact continued eligibility between redeterminations. (1) The Lead Agency must require families to report a change at any point during the minimum 12-month period, limited to: (i) If the family's income exceeds 85% of SMI, taking into account irregular income fluctuations; or (ii) At the option of the Lead Agency, the family has experienced a non-temporary cessation of work, training, or education.</p>	
Provision	
Provision	Provision Description
98.21(h)(2)	Family Reporting Policies: Additional Change Reporting Requirements During Min. Eligibility Period
Regulatory Language	

The Lead Agency shall specify in the Plan any requirements for parents to notify the Lead Agency of changes in circumstances during the minimum 12-month eligibility period, and describe efforts to ensure such requirements do not place an undue burden on eligible families that could impact continued eligibility between redeterminations. (2) The Lead Agency shall specify in the Plan any requirements for parents to notify the Lead Agency of changes in circumstances during the minimum 12-month eligibility period, and describe efforts to ensure such requirements do not place an undue burden on eligible families that could impact continued eligibility between redeterminations. Any additional requirements the Lead Agency chooses, at its option, to impose on parents to provide notification of changes in circumstances to the Lead Agency or entities designated to perform eligibility functions shall not constitute an undue burden on families. Any such requirements shall: (i) Limit notification requirements to items that impact a family's eligibility (e.g., only if income exceeds 85 percent of SMI, or there is a non-temporary change in the status of the child's parent as working or attending a job training or educational program) or those that enable the Lead Agency to contact the family or pay providers; (ii) Not require an office visit in order to fulfill notification requirements; and (iii) Offer a range of notification options (e.g., phone, email, online forms, extended submission hours) to accommodate the needs of parents.

Provision	Provision Description
98.21(h)(4)(ii)	Family Reporting Policies: Requirement to Act on Reported Information that Decreases a Copayment or Increases Subsidy

Regulatory Language

The Lead Agency shall specify in the Plan any requirements for parents to notify the Lead Agency of changes in circumstances during the minimum 12-month eligibility period, and describe efforts to ensure such requirements do not place an undue burden on eligible families that could impact continued eligibility between redeterminations. (4) The Lead Agency shall specify in the Plan any requirements for parents to notify the Lead Agency of changes in circumstances during the minimum 12-month eligibility period, and describe efforts to ensure such requirements do not place an undue burden on eligible families that could impact continued eligibility between redeterminations. Lead Agencies must allow families the option to voluntarily report changes on an ongoing basis. (ii) Lead Agencies are prohibited from acting on information that would reduce the family's subsidy unless the information provided indicates the family's income exceeds 85 percent of SMI for a family of the same size, taking into account irregular income fluctuations, or, at the option of the Lead Agency, the family has experienced a non-temporary change in the work, training, or educational status.

Equal Access: Payment Practices to Providers	
Provision	Provision Description
98.45(m)(1)	Prospective Payments
Regulatory Language	
The Lead Agency shall demonstrate in the Plan that it has established payment practices applicable to all CCDF child care providers that reflect generally accepted payment practices of child care providers that serve children who do not receive CCDF subsidies, which must include (unless the Lead Agency can demonstrate that such practices are not generally-accepted for a type of child care setting): (1) Ensure timeliness of payment to child care providers by paying in advance of or at the beginning of the delivery of child care services to children receiving assistance under this part.	
Provision	Provision Description
98.45(m)(2)	Enrollment-based Payments
Regulatory Language	
The Lead Agency shall demonstrate in the Plan that it has established payment practices applicable to all CCDF child care providers that reflect generally accepted payment practices of child care providers that serve children who do not receive CCDF subsidies, which must include (unless the Lead Agency can demonstrate that such practices are not generally-accepted for a type of child care setting): (2) Support the fixed costs of providing child care services by delinking provider payments from a child's occasional absences by: (i) Basing payment on a child's authorized enrollment; or, (ii) An alternative approach for which the Lead Agency provides a justification in its Plan that the requirements at paragraph (m)(2)(i) of this section are not practicable, including evidence that the alternative approach will not undermine the stability of child care programs.	

Equal Access: Affordability	
Provision	Provision Description
98.21(a)(3)	Copayments within 12-month Eligibility Period
Regulatory Language	
A Lead Agency shall re-determine a child's eligibility for child care services no sooner than 12 months following the initial determination or most recent redetermination, subject to the following: (3) Lead Agencies cannot increase family co-payment amounts, established in accordance with §98.45(k), within the minimum 12-month eligibility period except as described in paragraph (b)(3) of this section.	
Provision	Provision Description
98.21(h)(4)(i)	Maintaining Services and Affordability
Regulatory Language	
The Lead Agency shall specify in the Plan any requirements for parents to notify the Lead Agency of changes in circumstances during the minimum 12-month eligibility period, and describe efforts to ensure such requirements do not place an undue burden on eligible families that could impact continued eligibility between redeterminations. (4) The Lead Agency shall specify in the Plan any requirements for parents to notify the Lead Agency of changes in circumstances during the minimum 12-month eligibility period, and describe efforts to ensure such requirements do not place an undue burden	

on eligible families that could impact continued eligibility between redeterminations. Lead Agencies must allow families the option to voluntarily report changes on an ongoing basis. (i) Lead Agencies are required to act on this information provided by the family if it would reduce the family's co-payment or increase the family's subsidy.

Health and Safety: CCDF Health and Safety Topics

Provision	Provision Description
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers

Regulatory Language

Each Lead Agency shall certify in the Plan it has monitoring policies and practices applicable to all child care providers and facilities eligible to deliver services for which assistance is provided under this part. The Lead Agency shall: (2) Require inspections of child care providers and facilities, performed by licensing inspectors (or qualified inspectors designated by the Lead Agency), as specified below: (i) For licensed child care providers and facilities, (B) Not less than annually, an unannounced inspection for compliance with all child care licensing standards, which shall include an inspection for compliance with health and safety, (including, but not limited to, those requirements described in § 98.41) and fire standards (inspectors may inspect for compliance with all three standards at the same time).

Provision	Provision Description
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers

Regulatory Language

Each Lead Agency shall certify in the Plan it has monitoring policies and practices applicable to all child care providers and facilities eligible to deliver services for which assistance is provided under this part. The Lead Agency shall: (2) Require inspections of child care providers and facilities, performed by licensing inspectors (or qualified inspectors designated by the Lead Agency), as specified below: (ii) For license-exempt child care providers and facilities that are eligible to provide services for which assistance is made available in accordance with this part, an annual inspection for compliance with health and safety (including, but not limited to, those requirements described in § 98.41), and fire standards.

Provision	Provision Description
98.44(b)(1)(i)	Pre-Service or Orientation Training Requirement

Regulatory Language

The Lead Agency must describe in the Plan its established requirements for pre-service or orientation (to be completed within three months) and ongoing professional development for caregivers, teachers, and directors of child care providers of services for which assistance is provided under the CCDF that, to the extent practicable, align with the State framework: (1) Accessible pre-service or orientation training in health and safety standards appropriate to the setting and age of children served that addresses: (i) Each of the requirements relating to matters described in § 98.41(a)(1)(i) through (xi) and specifying critical health and safety training that must be completed before caregivers, teachers, and directors are allowed to care for children unsupervised.

Health and Safety: Inspections of In-Home Providers	
Provision	Provision Description
98.42(b)(2)(iv)(B)	In-home Provider Inspections
Regulatory Language	
<p>Each Lead Agency shall certify in the Plan it has monitoring policies and practices applicable to all child care providers and facilities eligible to deliver services for which assistance is provided under this part. The Lead Agency shall: (2) Require inspections of child care providers and facilities, performed by licensing inspectors (or qualified inspectors designated by the Lead Agency), as specified below: (iv) The Lead Agency may, at its option: (B) Develop alternate monitoring requirements for care provided in the child's home that are appropriate to the setting.</p>	

Health and Safety: Ratios and Group Sizes	
Provision	Provision Description
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers
Regulatory Language	
<p>Each Lead Agency shall certify in the Plan it has monitoring policies and practices applicable to all child care providers and facilities eligible to deliver services for which assistance is provided under this part. The Lead Agency shall: (2) Require inspections of child care providers and facilities, performed by licensing inspectors (or qualified inspectors designated by the Lead Agency), as specified below: (i) For licensed child care providers and facilities, (B) Not less than annually, an unannounced inspection for compliance with all child care licensing standards, which shall include an inspection for compliance with health and safety, (including, but not limited to, those requirements described in § 98.41) and fire standards (inspectors may inspect for compliance with all three standards at the same time).</p>	
Provision	
Provision	Provision Description
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers
Regulatory Language	
<p>Each Lead Agency shall certify in the Plan it has monitoring policies and practices applicable to all child care providers and facilities eligible to deliver services for which assistance is provided under this part. The Lead Agency shall: (2) Require inspections of child care providers and facilities, performed by licensing inspectors (or qualified inspectors designated by the Lead Agency), as specified below: (ii) For license-exempt child care providers and facilities that are eligible to provide services for which assistance is made available in accordance with this part, an annual inspection for compliance with health and safety (including, but not limited to, those requirements described in § 98.41), and fire standards.</p>	

Health and Safety: Fire Standards	
Provision	Provision Description
98.42(b)(2)(i)(B)	Annual Unannounced Inspection for Licensed Providers
Regulatory Language	

Each Lead Agency shall certify in the Plan it has monitoring policies and practices applicable to all child care providers and facilities eligible to deliver services for which assistance is provided under this part. The Lead Agency shall: (2) Require inspections of child care providers and facilities, performed by licensing inspectors (or qualified inspectors designated by the Lead Agency), as specified below: (i) For licensed child care providers and facilities, (B) Not less than annually, an unannounced inspection for compliance with all child care licensing standards, which shall include an inspection for compliance with health and safety, (including, but not limited to, those requirements described in § 98.41) and fire standards (inspectors may inspect for compliance with all three standards at the same time).

Provision	Provision Description
98.42(b)(2)(ii)	Annual Inspection for License-Exempt Providers

Regulatory Language

Each Lead Agency shall certify in the Plan it has monitoring policies and practices applicable to all child care providers and facilities eligible to deliver services for which assistance is provided under this part. The Lead Agency shall: (2) Require inspections of child care providers and facilities, performed by licensing inspectors (or qualified inspectors designated by the Lead Agency), as specified below: (ii) For license-exempt child care providers and facilities that are eligible to provide services for which assistance is made available in accordance with this part, an annual inspection for compliance with health and safety (including, but not limited to, those requirements described in § 98.41), and fire standards.

Health and Safety: Pre-Licensure Inspections

Provision	Provision Description
98.42(b)(2)(i)(A)	Pre-licensure Inspection for Licensed Providers

Regulatory Language

Each Lead Agency shall certify in the Plan it has monitoring policies and practices applicable to all child care providers and facilities eligible to deliver services for which assistance is provided under this part. The Lead Agency shall: (2) Require inspections of child care providers and facilities, performed by licensing inspectors (or qualified inspectors designated by the Lead Agency), as specified below: (i) For licensed child care providers and facilities, (A) not less than one pre-licensure inspection for compliance with health, safety, and fire standards.

Health and Safety: Posting Inspection Reports

Provision	Provision Description
98.33(a)(4)	Full monitoring and inspection reports posted online for all eligible and licensed providers

Regulatory Language

The Lead Agency shall certify that it will collect and disseminate consumer education information to parents of eligible children, the general public, and providers through a consumer-friendly and easily accessible Web site that ensures the widest possible access to services for families who speak languages other than English and persons with disabilities, including: (4) Results of monitoring and

inspection reports for all eligible and licensed child care providers (other than an individual who is related to all children for whom child care services are provided), including those required at § 98.42 and those due to major substantiated complaints about failure to comply with provisions at § 98.41 and Lead Agency child care policies. Lead Agencies shall post in a timely manner full monitoring and inspection reports, either in plain language or with a plain language summary, for parents and child care providers to understand, and shall establish a process for correcting inaccuracies in the reports. Such results shall include: (i) Information on the date of such inspection; (ii) Areas of compliance and non-compliance; and (v) A minimum of 3 years of results where available.

Comprehensive Background Checks: Pre-service Check Requirements

Provision	Provision Description
98.43(d)(4)	Background Check: Provisional Hire
Regulatory Language	
A prospective staff member may begin work for a child care provider described in paragraph (a)(2)(i) of this section after receiving qualifying results for either the check described at paragraph (b)(1) or (b)(3)(i) of this section in the State where the prospective staff member resides. Pending completion of all background check components in paragraph (b) of this section, the staff member must be supervised at all times by an individual who received a qualifying result on a background check described in paragraph (b) of this section within the past five years.	

Program Integrity and Accountability: Effective Internal Controls

Provision	Provision Description
98.68(a)(2)	Identification of Risk
Regulatory Language	
Lead Agencies are required to describe in their Plan effective internal controls that are in place to ensure integrity and accountability, while maintaining continuity of services, in the CCDF program. These shall include: (2) Processes to identify areas of risk.	
Provision	
Provision Description	
98.68(a)(3)	Child Care Provider and Program Administration Staff Training on Program Requirements and Integrity
Regulatory Language	
Lead Agencies are required to describe in their Plan effective internal controls that are in place to ensure integrity and accountability, while maintaining continuity of services, in the CCDF program. These shall include: (3) Processes to train child care providers and staff of the Lead Agency and other agencies engaged in the administration of CCDF about program requirements and integrity.	
Provision	
Provision Description	
98.68(a)(4)	Evaluation of Internal Control Activities
Regulatory Language	

Lead Agencies are required to describe in their Plan effective internal controls that are in place to ensure integrity and accountability, while maintaining continuity of services, in the CCDF program. These shall include: (4) Regular evaluation of internal control activities.	
Provision	Provision Description
98.68(c)	Documenting and Verifying Child Eligibility
Regulatory Language	
Lead Agencies must describe in their Plan the procedures that are in place for documenting and verifying that children receiving assistance under this part meet eligibility criteria at the time of eligibility determination and redetermination.	

Program Integrity and Accountability: Fraud Investigation, Payment Recovery, and Sanctions	
Provision	Provision Description
98.68(b)(1)	Identifying Fraud or Other Program Violations
Regulatory Language	
Lead Agencies are required to describe in their Plan the processes that are in place to: (1) Identify fraud or other program violations, which may include, but are not limited to the following: (i) Record matching and database linkages; (ii) Review of attendance and billing records; (iii) Quality control or quality assurance reviews; and (iv) Staff training on monitoring and audit processes.	
Provision	Provision Description
98.68(b)(2)	Fraud investigation, payment recovery, and sanctions
Regulatory Language	
Lead Agencies are required to describe in their Plan the processes that are in place to: (2) Investigate and recover fraudulent payments and to impose sanctions on clients or providers in response to fraud.	