

Pre-IDA: Preparing for interview

APS Worker Name

Date

Client Name

Primary Language Spoken

A. Possible Risks: Check all that the client seems to be facing at this time

Abuse	Self-Neglect	Financial Exploitation
<input type="checkbox"/> Physical Injury	<input type="checkbox"/> Medical (medical care, leaving AMA, not taking medications, etc.)	<input type="checkbox"/> Misappropriation of property
<input type="checkbox"/> Mental Injury	<input type="checkbox"/> Refusal of community supports	<input type="checkbox"/> Intentionally taking unfair advantage of physical or financial resources when the known adult lacks capacity to consent
<input type="checkbox"/> Sexual Abuse	<input type="checkbox"/> Failure to thrive	<input type="checkbox"/> Person in position of trust takes money or property not in due and lawful execution of trust or benefit
<input type="checkbox"/> Unreasonable use of a physical restraint, isolation or medication	<input type="checkbox"/> Inadequate food	<input type="checkbox"/> Breach of fiduciary duty, the misuse of power of attorney, trust or guardian/ conservatorship as it relates to property, income, resources or trust funds
<input type="checkbox"/> A threat or menacing conduct	<input type="checkbox"/> Suicidal	<input type="checkbox"/> Use of deception, intimidation, coercion, extortion, or undue influence by a person or entity to obtain or use adult’s property, income, resources, or trust funds for profit of such person
	<input type="checkbox"/> Eviction	
Neglect	<input type="checkbox"/> Unsafe housing (filth, vermin, squalid living conditions, inadequate utilities)	
<input type="checkbox"/> By caretaker		
<input type="checkbox"/> By another person		

B. Identified Risk: Circle the risk above that you believe poses the highest level of endangerment

What wording will you use to describe this risk to the client?

C. Introduction: Consider how you will introduce IDA to the client

For example: “So let’s talk now about some of the decisions that you might be making”.
Or possibly “Now I’m going to be asking you some important questions having to do with decisions that you might be making. Will that be OK?”

D. Interview Instructions: Use the risk identified in the Pre-IDA for IDA Steps 1, 2, & 3

IDA Step 1 - Assessing the Risk in General (Understanding)

A. APS worker asks the client if he/she understands that other people confront the identified risk.

One way to ask: *"I'd like to discuss your thoughts about whether you think that [insert risk] can happen to others?"*

B. If the client understands that others can have the risk, APS worker asks the client to explain what could happen if the risk is not addressed.

One way to ask: *"Suppose someone faces [insert risk], and doesn't do anything about it, what might happen to him/her?"*

Worker Judgment

Do you think the client understands the risk in general? (check one)

- ☐ Yes
- ☐ Maybe
- ☐ No (If No, stop the interview and speak with your supervisor)

What did the client say that brought you to this judgment? Record the client's own words as closely as possible:

Describe the client's emotions, reactions, non-verbal gestures:

IDA Step 2 - Insight into the Risk on a Personal Level (Appreciation)

A. APS Worker asks the client if he/she is experiencing this risk.

One way to ask: *"I'd like to learn more about **you**. Even though we may have already touched on this in our conversation, do you think **you** are facing [insert risk]?"*

Possible probes: *"Can you tell me why?" or "I'd like to know more about your thoughts on this."*

Worker Judgment

Do you think the client has insight that he/she could personally be experiencing this risk? (check one)

- ☐ Yes
- ☐ Maybe
- ☐ No (If No in IDA Step 3, skip question A and complete only B and C)

What did the client say that brought you to this judgment? Record the client's own words as closely as possible:

Describe the client's emotions, reactions, non-verbal gestures:

IDA Step 3 - Ability to Weigh Advantages/Disadvantages of a Plan for Addressing Risk (Reasoning)

A. APS worker asks if the client has a plan to address the risk.

"What are your plans to address the possibility of [insert risk]?"

"How would that help address the possibility of [insert risk]?"

B. If the client lacks insight (IDA Step 2) or a workable plan (IDA Step 3A), APS worker suggests an alternative plan to address the risk. "Would you consider (insert plan) to address the possibility of [insert risk]? Tell me your thoughts."

C. APS worker asks the client about advantages and disadvantages of a workable plan (from IDA Step 3A or 3B) to address the risk.

"What would be the **advantages** for you having (insert plan) to address the possibility of [insert risk]?"

What would be the **disadvantages** for you having [insert plan] to address the possibility of [insert risk]?"

Worker Judgment

Does the client have the ability to weigh the advantages/disadvantages of a plan to address the risk? (check one)

- ☐ Yes
- ☐ Maybe
- ☐ No

What did the client say that brought you to this judgment? Record the client's own words as closely as possible:

Describe the client's emotions, reactions, non-verbal gestures:

Post-IDA - Next Steps

Risk used for IDA

Estimated time spent conducting this interview

Summary of Worker Judgments

Step 1 - Assessing the Risk in General (Understanding)

☐ Yes

☐ Maybe

☐ No

Step 2 - Insight into the Risk on a Personal Level (Appreciation)

☐ Yes

☐ Maybe

☐ No

Step 3 - Ability to Weigh Advantages/Disadvantages of a Plan for Addressing Risk (Reasoning)

☐ Yes

☐ Maybe

☐ No

Were there any barriers to completing the IDA (hearing or speech problems, language comprehension, other)?

☐ Yes

☐ Maybe

☐ No

Describe the barriers:

Next Steps (check all that apply)

☐ Use the IDA to help clarify the client’s thinking about the risk

☐ Discuss client’s decisional ability with supervisor

☐ Incorporate IDA into your overall APS Assessment

☐ Consider referral for professional capacity assessment

☐ Other (describe on the lines immediately below)

Additional Notes

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