

Staff Secure Facility (SSF) Site Visit Tool

Date of Site Visit:
Provider:
Provider Contact:
DCF Surveyor:

Instructions: The Site Visit Tool is to be completed at in-person site visits for initial reviews, 90-day reviews and annual reviews. This site visit tool will score the policy and procedure manuals, youth, files, employee files, and facility for compliance with the placement standards. Review each section and coordinating source of information. When completing the findings section, choose Substantially Met if all items are located. Partially Met if some of the items are located. Not Met if you do not find the information asked for. And Not Applicable if the item does not apply to this facility. If an item is not Substantially Met, please note what is missing in the comments section.

Scoring:
Substantially Met = 1
Partially Met = .5
Not Met = .25
Not Applicable = 0

Total possible score on this site visit tool is 70. If score is 60 or less, that requires an automatic Corrective Action Plan (CAP). Items that do not score substantially met, will require comments that will be the basis for the CAP. Facilities will have 14 days to address the missing items and submit corrections or a plan to make necessary corrections (for example trainings, this can take time to find and schedule). Track CAP corrections on this form and document the date corrections are received.

	<u>SECTION 1: GENERAL PROGRAM DESCRIPTION AND REQUIREMENTS</u>
	A Staff Secure Facility is a 24-hour residential facility that meets the requirements of K.A.R. 28-4-1250 and K.A.R. 28-4-1269: defining a secure care facility. It also meets the requirements of K.S.A. 65-535 to provide care in a residential setting.

	Section 1.1: Services Provided in Secure Care					
	<p>A Staff Secure Facility (SSF) provides a safe and secure placement for juvenile victims of human trafficking. Law Enforcement who places a child/youth in police protective custody can directly place the child/youth in SSF. The Secretary of the Department for Children and Families (DCF) can place a child/youth in DCF custody in a SSF. CWCMP's may also place victims of human trafficking in a SSF when victim identification was discovered by the CWCMP during an open foster care referral. Staff Secure Facility schedule shall provide for a minimum staffing ratio of one direct care staff member on active duty to four residents during waking hours and one direct care staff member on active duty to seven residents during sleeping hours. At no time shall there be fewer than two direct care staff members present on the living unit when one or more residents are in care.</p> <p>A SSF shall provide the following services to children placed in such facility as appropriate, for the duration of the placement. The General Program description approved by DCF Prevention and Protection Services for each facility shall include but not be limited to the:</p> <ul style="list-style-type: none">• Case management• Life skills training• Health care• Mental health counseling• Substance abuse screening and treatment• Any other appropriate services <p>A staff secure facility may be on the same premises as that of another licensed facility. If the staff secure facility is on the same premises as that of another licensed facility, the living unit of the staff secure facility shall be maintained in a separate, self-contained unit. No staff secure facility shall be in a city or county jail.</p>					
#	Requirement	Source	Findings <i>(Delete the three that don't apply)</i>	Comments	Date Corrections Completed <i>(Or note)</i>	Score

1	Review p/p	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
2	Review program description.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

SECTION 2: CRITERIA FOR THE YOUTH'S ADMISSION						
Youth who have been identified as a Human Trafficking victim in the following, but not limited to: <ul style="list-style-type: none"> police protective custody custody of the Secretary of the Department for Children and Families in out of home placement 						
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
3	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
4	Review case files.	Case Records	Substantially Met Partially Met Not Met Not Applicable			

SECTION 3: GENERAL STAFFING REQUIREMENTS						
Administrators: <ul style="list-style-type: none"> Shall have at least a master's degree in social work, human development, psychology, education, nursing, counseling, family studies or a related field Shall demonstrate knowledge of the principles and practices of administration and management Shall have at least three years supervisory experience within a child care facility providing treatment to children or youth Supervisors: <ul style="list-style-type: none"> Shall have at least a bachelor's degree in a human services field Case Coordinator: <ul style="list-style-type: none"> Shall be licensed by the Behavioral Sciences Regulatory Board (BSRB) pursuant to applicable statutes and licensing regulations. Clinical Director: <ul style="list-style-type: none"> Is responsible for treatment programing for the youth, shall have a master's degree in Behavioral Science or a related field and be licensed through the Behavioral Sciences Regulatory Board (BSRB) to practice, diagnose and treat mental and behavioral disorders. Other Professional Staff: <ul style="list-style-type: none"> Shall maintain current licensure, certification or registration for that staff member's profession Facility Staff: <ul style="list-style-type: none"> Shall be at least 21 years of age with a minimum of three years age difference between the care provider and the oldest child who can be admitted to the facility. Shall have at least a high school diploma or its equivalent. Staff shall be trained to effectively meet the special needs of youth that require this level of care by having completed at least one of the following: <ul style="list-style-type: none"> A bachelor's degree from an accredited college or university and one year of experience supervising children or youth in a child care facility; 60 semester hours from an accredited college or university and two years of experience supervising children or youth in a child care facility; Four years of experience supervising children or youth in a child care facility. 						
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
5	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
6	Review administrator	HR Files or	Substantially Met			

	personnel file or contract for compliance.	Contract	Partially Met Not Met Not Applicable			
7	Review administrator job description and HR file.	HR Files	Substantially Met Partially Met Not Met Not Applicable			
8	Review facility staff job descriptions and HR files.	HR Files	Substantially Met Partially Met Not Met Not Applicable			
9	Review personnel files for age requirements.	HR Files	Substantially Met Partially Met Not Met Not Applicable			
10	Review staffing pattern for compliance with staff ratio requirements.	Staffing schedule	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

	Section 4: Case Coordination					
	<p>The Secure Care Facility has the responsibility for coordinating the youth's program and progress with the referring CWCMP case management agency, school, family, and other appropriate community resources. This shall include, but not be limited to, documenting phone calls, appointment and visits (on and off site).</p> <p>The Case Coordinator will maintain a resource of services to address the needs identified in Individual Program Plans and document in the youth file, when and what community resources have been contacted and utilized for services for the youth. The case coordinator shall be responsible for Weekly Progress Notes (see Section 14: Record Keeping).</p>					
#	Requirement	Source	Findings (Delete the two that don't apply)	Comments	Date Corrections Completed (Or note)	Score
11	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
12	Review case file for documentation of coordination with utilized community resources.	Case Record	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

	Section 5 – Staff In-service training.					
	Section 5.1 In-service Orientation Training					
	<p>Each facility shall have an in-service orientation/training program for new employees, which is especially directed toward the initial training needs of staff working directly with residents. Documentation of completion of orientation training shall be kept in the staff member's personnel file.</p> <p>The documentation shall be placed in a specific area in the staff's file, indicating:</p> <ol style="list-style-type: none"> 1. staff training, reflecting orientation or annual training 2. name of trainer 3. name of training 4. specify the number of training hours 5. date of the training <p>Facility staff shall have completed a minimum of 10 hours of in-service orientation training within 7 days of employment. And an additional 40 hours of in-service orientation and demonstrate competency in the trainings before they can work independently with children.</p> <p>All topics listed below shall be trained, even if it exceeds the minimum 50 hours of orientation:</p> <p>Facility Trainings:</p> <ul style="list-style-type: none"> • Facility policy and procedures manual • Facility emergency and evacuation procedures 					

	<ul style="list-style-type: none"> Facility discipline standards Child record documentation policies and procedures Resident rights (See Appendix 4, Resident Rights) Confidentiality laws Report Writing <p>Trainings from an outside source and/or trained trainers within the facility (source must be well recognized and qualified, trained trainers must have documentation on file):</p> <ul style="list-style-type: none"> Emergency safety interventions (including management of aggressive or suicidal behavior) (if a facility chooses to use Emergency Safety Intervention staff shall be certified) De-escalation (staff shall be certified) The handling of blood borne pathogens Medication Administration (staff who pass medications shall be certified) Certified in CPR/First Aid Trauma based informed care/trauma specific intervention Mandated Reporting (Provided By DCF) http://www.dcf.ks.gov/services/MRT/Pages/default.aspx HIPPA Laws Comprehensive LGBTQ+ Human Trafficking and exploitation Cultural Diversity Suicide Prevention/Intervention/Safety 					
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
13	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
14	Review training curriculum.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
15	Review personnel files for orientation training.	HR Files	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

	Section 5.2 Annual Service Training
	<p>Annual training is beyond or in addition to the initial 18-hour orientation training program from the date of employment. During the first year of employment staff shall receive a minimum of 36 hours of training (18 orientation hours, 18 annual In-Service).</p> <p>All Secure Care direct care staff shall have a minimum of 18 documented clock hours of in-service training per year. Documentation shall be provided in each staff member's personnel record to include content, amount of time, trainer, and qualifications.</p> <p>The documentation shall be placed in a specific area in the staff's file, indicating staff training, indicating:</p> <ul style="list-style-type: none"> staff training, reflecting orientation or annual training name of trainer name of training specify the number of training hours date of the training <p>All topics listed below shall be trained, even if it exceeds the minimum 20 hours of annual in-service:</p> <p>Facility Refreshers/Trainings:</p> <ul style="list-style-type: none"> Facility policy and procedures manual Facility emergency and evacuation procedures Facility discipline standards Child record documentation policies and procedures Resident rights (See Appendix 4, Resident Rights) Confidentiality laws <p>Refreshers/Trainings from an outside source and/or trained trainers within the facility (source must be well recognized and qualified, trained trainers must have documentation on file):</p> <ul style="list-style-type: none"> Emergency safety interventions (including management of aggressive or suicidal behavior) (staff shall maintain certification)

	<ul style="list-style-type: none"> De-escalation (staff shall maintain certification) The handling of blood borne pathogens Medication Administration (staff shall maintain certification, may or may not require annual training) CPR/First Aid (Staff shall maintain certification, may or may not require annual training) Trauma based informed care/trauma specific intervention Mandated Reporting (Provided By DCF)http://www.dcf.ks.gov/services/MRT/Pages/default.aspx HIPPA Laws Comprehensive LGBTQ+ Childhood and adolescent sexuality issues, especially the effects of early sexual abuse Substance Use Disorders Blood Borne Pathogens Childhood and adolescent development (including developmental disorders) Childhood and adolescent psycho-pathology (including such topics as effects of abuse/neglect, reactive attachment disorders, separation anxiety disorders, ADHD) Suicide Prevention/Intervention/Safety 					
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
16	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
17	Review training curriculum.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
18	Review personnel files for annual training.	HR Files	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

	Section 6: Confirmation of Placement					
	<p>A Foster Care Confirmation of Placement (PPS 5122) is available through the CareMatch system which confirms the placement arranged by the Child Welfare Case Management Provider (CWCMP).</p> <p>Staff Secure Facility placements have no limitations as to duration of stay. Short term stays where the victim is returned to a parent or guardian average 3-5 days in length. Longer term placements where the victim receives more services to help them deal with their situation may occur.</p>					
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
19	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
20	Look for a copy of the signed Placement Agreement in the youth's file that was completed at the time of placement.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

	Section 7: Initial Assessment					
	<p>When a youth enters the facility, the Secure Care shall begin immediately assessing their strengths and needs and shall have a completed assessment within 1 day. The assessment shall include but not be limited to the following:</p> <ol style="list-style-type: none"> Reasons for referral to the facility Evaluation or assessment covering the following areas: <ol style="list-style-type: none"> physical health family relations academic or vocational training Community life Interpersonal interactions 					

	5. Daily living skills as outlined in the scope of services listed above 6. Immediate service needs: a) mental health b) developmental c) dental d) medical 7. Involvement or exposure to Substance Abuse/disorder 8. Involvement or exposure to trauma 9. Assessment of youth's self- injuring or suicidal attempts					
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
21	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
22	Review case record.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

	Section 8: Room Assignment					
	<p>In order to support the daily management and administration of residents, each residential provider shall develop an objective procedure regarding the physical housing of youth. Youth in a residential placement shall be assigned to a room based upon various factors, as identified by risk/needs assessment(s) in addition to other indicators. Factors to consider in assigning rooms shall include (but are not limited to):</p> <ul style="list-style-type: none"> • Suicidal tendencies • Level of specialized needs (i.e. mental health, medical, etc) • Displaying inappropriate sexual behaviors/victims of sexual abuse) • Gender • Age and/or maturity level • Program needs (substance use disorder, cognitive behavioral, independent living, etc) • Vulnerability to being victimized by others (i.e. physical stature) • Comprehensive LGBTQ+ <p>While each youth will have an individualized program plan, assigning rooms based upon risk/need/responsivity factors will allow for a safer, more secure environment, as well as efficient and effective management of the living units. The youth's room assignment and how the decision was made shall be documented in the youth's file.</p>					
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
23	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
24	Factors considered for the youth room assignment shall be documented in the youth's file.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

	Section 9: Scope of Services					
	<p>The provider shall write a policy and procedure manual for the operation of the SSF facility that will be reviewed and approved by DCF Prevention and Protection Services. The daily schedule shall address the needs of the children and the use of time to enhance the child's physical, mental, emotional, and social development. The facility shall provide supervised indoor and outdoor recreation so that every child may participate. Age appropriate equipment and outdoor play space to promote physical development and physical fitness shall be available. Age appropriate socialization shall be provided utilizing community resources to assist the youth in transitioning back into their community when appropriate.</p> <p>The SSF will provide a program for youth in the facility that covers the following program components:</p> <p>Daily Living Services - Daily living services shall be provided and include the following:</p> <ol style="list-style-type: none"> 1. room 					

2. board
3. child care
4. personal spending money
5. personal care needs
6. school fees
7. transportation to appointments within a 60-mile radius; including to and from school, medical care, recreation, etc.
8. academic activities
 - a) assistance with school work
 - b) vocational training, and/or
 - c) G.E.D. training

Situational Training- to include but not limited to:

1. Personal Hygiene:
 - a) teaching about body cleanliness
 - b) use of deodorants and cosmetics
 - c) appropriate clothing
 - d) choosing clothing to fit individual and occasion
 - e) keeping clothes neat and clean
2. Health:
 - a) identifying and understanding residents' health needs
 - b) securing and utilizing necessary medical treatment including preventive and health maintenance services
 - c) gaining information and education in health maintenance including:
 - i. preventive measures
 - ii. nutrition
 - iii. menstruation
 - iv. rest
 - v. cleanliness
 - vi. family planning
 - vii. drugs
 - viii. sexually transmitted diseases
 - ix. exercise
 - x. motivation for meeting own health needs
 - d) maintaining contact with providers of health services (physician, nurse, clinic)
 - e) using outside resources for assistance (clinics, pharmacies, hospitals)
3. Consumer education for independent living:
 - a) budgeting
 - b) comparative buying
 - c) installment buying
 - d) avoiding risks
 - e) identifying illegal or excessive interest rates
 - f) use of credit
 - g) avoiding or dealing with debts
 - h) using checking and savings accounts
 - i) paying taxes
4. Communication skills:
The youth's articulating thoughts and feelings through appropriate use of such skills as:
 - a) speech
 - b) writing
 - c) use of the landline/cell telephones
 - d) computer
 - e) social networking
 - f) internet
5. Home Management:
 - a) making the bed and changing linens,
 - b) using the vacuum cleaner,
 - c) dusting,
 - d) organizing belongings,
 - e) disposing of trash,
 - f) cleaning all areas of the home,
 - g) operating appliances,
 - h) cooking complete meals,
 - i) making simple repairs,
 - j) who to call when a major repair is needed,
 - k) being aware of the need for upkeep,
 - l) handling emergencies,
 - m) knowing first aid.

	<p>6. Situational Guidance:</p> <ul style="list-style-type: none"> a) identifying and accepting strengths b) developing patterns of acceptance c) coping with authority figures d) getting along with others e) sharing responsibility f) being considerate of others g) developing friendships h) knowing when to go home when visiting i) recognizing or modifying attitudes toward self or others j) responsible work attitudes k) tolerance of verbal criticism l) reactions to praise m) punctuality n) attendance <p>7. Recreation:</p> <ul style="list-style-type: none"> a) participating in leisure time activities b) learning how to spend leisure time c) developing outside activities d) managing time e) finding recreation with little or no expense involved f) finding community projects to take part in g) participating in social groups h) participating in sports and games i) arts and crafts j) appreciating fine arts 					
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
25	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
26	Review case file for program plan and implementation.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
27	Review daily schedule.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
28	Tour recreational areas to insure age appropriate equipment and space.	Facility tour	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

Section 10: Behavior Management	
	<p>Each facility shall have a written program of consistent rules and regulations guiding and governing the daily behavior of the children under the care of the program. The behavior management system shall include a description of the daily routines of the program. The system of rules, rewards, and consequences for given behaviors shall be identified. Each child shall be oriented to the facility's behavior management system by a staff member during the admission or orientation process. Notation shall be made in the child's file and signed by the child that the rules, rewards and consequences have been discussed. The facility shall post the behavior management system in a common area where children are able to easily access the system and the children shall be given a written copy of the system to use as a reference. Behavior management shall include rules governing:</p> <ul style="list-style-type: none"> • interpersonal interactions with staff and peers • facility leave policies • school attendance and behavior while at school • verbal and physical aggression • allowable possessions • awakening and bedtime hours • leisure hours, • visitation policies • runaway attempts

	<ul style="list-style-type: none"> involvement in recreation and other activities self-destructive behaviors sexuality communications with family and others outside the program religious worship involvement in therapies theft, property destruction behaviors resulting in mandatory removal from the program and behaviors at the program which could result in legal prosecution. <p>When a child decides not to attend religious worship or activities, alternative supervised activities shall be made available.</p> <p>The overarching goals shall be to not only help the children adjust to the residential facility but also to daily life within society. A resource list shall be maintained by the facility of the available resources to meet the child's need in the community.</p> <p>Discipline at the facility shall be consistent and not be physically or emotionally damaging. Children shall not be subjected to cruel, severe, unusual, or unnecessary punishment. Children shall not be subjected to remarks that belittle or ridicule them or their families. Children shall not be denied food, mail, telephone calls or visits with their families as punishment. Seclusion shall not be utilized as a disciplinary measure. Only staff members shall discipline children in placement.</p>					
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
29	Review p/p.	Policies, Procedures or Documents	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
30	Behavior plan posted in common area	Tour Facility	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
31	Review files for documentation that the youth signed the behavior system acknowledgement	Case Records	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
32	Review file for level changes and explanations of the level changes	Case Records	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
Score for this section:						

	Section 10.1 Resetting					
	<p>A procedure used to assist the child in regaining regain emotional control by providing a safe and quiet area.</p> <p>Application of a reset:</p> <ul style="list-style-type: none"> A child in a reset shall never be physically prevented from leaving the reset area. Resets may take place away from the area of activity or from other children. Staff shall monitor the child while he or she is in resetting. 					
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
33	Review p/p.	Policies, Procedures or Documents	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
34	Review files for documentation of a reset	Case Records	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
Score for this section:						

Section 10.2: De-escalation Certification						
De-escalation is a technique used during a potential crisis situation in an attempt to prevent a child from causing harm to themselves, others and/or staff. De-escalation techniques shall be utilized for any activity required to diffuse a conflict or intense situation to ensure safety and calm the child. Staff shall be certified in authorized, evidenced based de-escalation techniques programs for managing aggressive behavior. Staff training records shall be kept as part of the staff member's personnel file and shall be made available upon request. At the time of admission to a facility, the child and parent (if applicable)/guardian and/or CWCMP Case Manager shall be oriented to the managing aggressive behaviors policies of the facility and shall sign a written acknowledgment of this orientation. This written acknowledgment shall be kept in the child's case record.						
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
35	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
36	Review personnel files for documentation of required training.	HR Files	Substantially Met Partially Met Not Met Not Applicable			
37	Look for written acknowledgments and required signatures.	Case Record	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

Section 10.3: Emergency Safety Interventions Certification						
<p>An emergency safety intervention shall be performed in a manner that is safe, proportionate, and appropriate to the severity of the behavior, and the resident's chronological and developmental age, size, gender, physical, medical, psychiatric condition, and personal history.</p> <p>The use of emergency safety interventions shall be performed only through the use of nationally recognized restraint procedures applicable to this population designed to prevent a child from harming self or others by exerting external control over physical movement.</p> <p>An emergency safety intervention is the application of physical force without any mechanical device, for the purpose of restricting the free movement of a child's body. An emergency safety intervention shall be used only as last resort after all verbal de-escalation techniques have failed and when the child at-risk of harming themselves or others.</p> <p>Mechanical restraint is the use of mechanical devices to restrict the free movement of the child's body, most often for purposes of preventing self-destructive behavior. Mechanical restraints are not allowed in Secure Care residential facilities.</p> <p>Each facility shall have a written policy and all staff shall be trained to provide safe emergency safety interventions. Staff shall be certified in authorized, evidenced based training programs for managing aggressive behavior and de-escalation techniques. Staff training records shall be kept as part of the staff member's personnel file and shall be made available upon request. At the time of admission to a facility, the child and parent (if applicable)/guardian (if applicable) and/or CWCMP Case Manager shall be oriented to the emergency safety intervention policies of the facility and shall sign a written acknowledgment of this orientation. This written acknowledgment shall be kept in the child's case record.</p>						
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
38	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
39	Review written plan to limit use of restraints.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
40	Review restraint logs.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
41	Review personnel files for documentation of required training.	Case Records	Substantially Met Partially Met Not Met			

42	Ask for other effective techniques and alternatives used by the facility.	Case Records	Not Applicable Substantially Met Partially Met Not Met Not Applicable			
43	Look for written acknowledgments and required signatures.	Case Record	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

Section 11: Program Plan						
<p>Each youth residing in a residential facility shall have a program plan that is based on a thorough assessment. Assessment documents shall be included in the case record. The program plan shall be established by the end of 14 days from admission and shall address the identified needs in the emotional, physical, educational, social, familial, and where appropriate independent living skill domains. Youth may not have identified needs in every domain. If so, document no needs were identified. Program plans shall be updated when new needs are identified or when program goals are met. Program plans shall be thoroughly reviewed, and revisions made within 30 days of completion of initial program plan and each 30 days thereafter. This includes updated information of the progress of the youth's goals. Information obtained from the youth, parent, guardian, and CWCMP case manager shall be considered in the report.</p> <p>Program plan development, review, and case supervision are carried out by the SSF provider.</p> <p>The program plan shall include individualized services to match the youth's identified needs in the following areas:</p> <ul style="list-style-type: none"> Long term goals in the areas of: <ol style="list-style-type: none"> physical health family relations daily living skills academic and/or vocational skills interpersonal relations substance use service needs emotional/psychological health Short term goals which will help a youth eventually reach his/her long-term goals in each of the above areas. <ol style="list-style-type: none"> Services to meet independent living goals. Specific plans for reaching the short-term goals including services to be provided and frequency. Estimated time for reaching short term goals. The youth shall sign and date the program plans indicating participation and input in the development of the plan. Updated information of the progress of the youth's goals shall be included. <p>SSF staff shall participate in case planning conference conducted by CWCMP case manager.</p> <p>Permanency Planning: Includes the evaluation and design of an approach for the youth and family that focuses on opportunities for the youth to have ongoing active and meaningful connections with family, kin, relatives, and the community. The goal for achieving permanency shall be coordinated with the youth's CWCMP Case Manager and be included in the program plan to be reviewed every 30 days. The permanency plan shall include strategies and tasks to accomplish the youth's goals. Behaviors which place the youth at risk for disruption, activities to prepare the youth's family or kinship network for reunification, identification of other less restrictive living environments and preparing the youth for transition to these settings shall be addressed.</p>						
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
44	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
45	Check for signatures and dates on reviews.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
46	Review case record.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
47	Check for program objectives.	Case Records	Substantially Met Partially Met Not Met			

48	Check for 30-day reviews.	Case Records	Not Applicable Substantially Met Partially Met Not Met Not Applicable			
49	Check for ES staff participation in CWCMP case planning.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

Section 12: Visitation						
Visitation/family time will be determined on a case by case basis by the facility in partnership with the CWCMP.						
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
50	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
51	Look for quiet private spaces for phone calls and visitation.	Facility Tour	Substantially Met Partially Met Not Met Not Applicable			
52	Look for documentation of visitation and phone calls, as well as transportation arrangements.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
53	Look for CWCMP approved contact list.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

Section 13: Discharge/Aftercare Plan						
<p>Discharge planning shall begin upon admission of the youth to the facility. At a minimum, the child, the child's parents (if applicable) or guardian, and the CWCMP Case Manager shall be involved in planning the discharge from the facility. The discharge plan and modifications to it shall be noted in the case file. All releases shall be approved by the court of jurisdiction, or the designated authority.</p> <p>A discharge summary shall be completed at the time of the youth's discharge and be forwarded to the CWCMP Case Manager within one business day. The discharge summary shall include written:</p> <ul style="list-style-type: none"> Summary of progress, or lack thereof, of the youth's goals and objectives while the youth was in placement Summary of the youth's behavior while in placement Recommendations for aftercare services specifying the nature, frequency, duration of services and responsible parties Summary of the reasons the youth was discharged 						
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
54	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
55	Review discharge planning.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
56	Review closed files for aftercare planning.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
57	Review closed files for	Case Records	Substantially Met			

	discharge summary.		Partially Met Not Met Not Applicable			
Score for this section:						

	SECTION 14: Record Keeping Requirements For The Facility:
	<p>The record keeping requirements of KAR 28-4-272 shall be met by the facility. In addition, the following shall be kept by the facility. If a facility chooses to use electronic filing full access shall be given to DCF employees who are conducting site visit.</p> <p>Child's File: The provider shall maintain a file for each child. The file shall contain the following:</p> <ul style="list-style-type: none"> • Child's name and date of birth • Name, address and emergency contact information of the child's CWCMP Case Manager • Foster Care Confirmation of Placement • Current CWCMP Referral form • Current CWCMP Case Plan • If reunification is the goal, evidence demonstrating that the parent from whom the child was removed provided input on the members of the family and permanency plan. • Initial Assessment • Suicide/self-injury questionnaire • Apartment/Room assignment assessment • Medical and surgical consents • Medical and dental records (history and current) • Documentation of diagnosis (history and current) • Records of the child's prescription(s) and non-prescription(s) and when administered • Authorization for release of confidential information • Daily observation logs by shift • Weekly progress notes • Program plans • Treatment Plans, if applicable • Discharge plans/Aftercare • Approved contact list • Resident's rights acknowledgement • Emergency Safety Intervention/de-escalation acknowledgements • Handbook/Rules acknowledgement • Pre and Post visit documentation • Significant incident reports • Personal Property Inventory • Educational documentation <p>Record Retention: Case records, including medical records, shall be maintained for 6 years from the date of the child's discharge or until completion of an on-going audit and production of a final audit report, whichever is longer.</p> <p>Daily Observations: A dated record of daily observations and significant occurrences involving each child shall be maintained by each shift and maintained in each child's individual file. The record shall include events which may affect the well-being of the child. Significant events should include but not be limited to; attendance at school or groups (specific group), interactions and/or interventions with staff and other children, medical appointments, mental health appointments, medication compliance, hygiene (if identified as a need), visits/passes, meals eaten and overall behavior. Each report shall include the staff member and/or child involved, the nature of the incident and the circumstances surrounding it. The record shall be available to review.</p> <p>Weekly Progress Notes: Notes shall be completed by the case coordinator. These notes shall be entered into the child's chart, reflecting the delivery of services according to the treatment plan. This documentation shall address the child's responses to interventions and the progress of the child on individualized goals and objectives. The note shall include any significant events that occurred during the week and shall also summarize contacts with family members and other involved agencies. If an unmet need is identified, the note shall reflect the actions to be taken to revise the plan to meet that need. The case coordinator shall document specific services and activities they are providing to each child. Each month the weekly progress notes shall be sent to the CWCMP Case Manager. The Monthly Progress Report Form shall be submitted to each child's provider no later than the 15th of each month following the reporting month, for all children who are in placement more than 15 days of that calendar month. Submission will be accepted by e-mail to:</p> <ul style="list-style-type: none"> • Cornerstones of Care: KSmonthlyprogressreports@Cornerstonesofcare.org • KVC: KVCMonthlyReports@KVC.org • St. Francis Ministries: MonthlyProgressReports@st-francis.org • TFI: MonthlyReports@TFIFamily.org <p>Health Records: Health Care and Records of children shall meet the requirements of KAR 28-4-275. Records of over the counter and prescribed medications shall be kept in each child's case medical record and include the:</p>

	<ul style="list-style-type: none"> name of the prescribing physician name of the medication dosage prescribed medication schedule purpose of the medication noted side effects date of the prescription date prescribed by a physician <p>A record of medication given, amount, date and time, and person dispensing shall be recorded. All doctor and dental visits, major illnesses, and accidents shall be recorded. Mental health appointments shall also be specifically documented in a child's medical record. This provides for a complete Health record for the child and their family, which documents the frequency of the youth's mental health treatment.</p> <p>Personnel Records: A separate file shall be maintained for each employee. Personnel files shall include the following:</p> <ul style="list-style-type: none"> Written employment application, resume and reference checks Date of hire Position description Educational transcripts, HS diploma, college degree, etc. Copy of driver's license/Kansas ID (current) Disciplinary action records Training records Out of state registry checks, when applicable (staff member has lived outside of Kansas within the last 5 years) 					
#	Requirement	Source	Findings <i>(Delete the three that don't apply)</i>	Comments	Date Corrections Completed <i>(Or note)</i>	Score
58	Review p/p.	Policies, Procedures or Documents	Substantially Met Partially Met Not Met Not Applicable			
59	View stored records.	Tour	Substantially Met Partially Met Not Met Not Applicable			
60	Review case file for documentation of daily observations and significant occurrences.	Case Records	Substantially Met Partially Met Not Met Not Applicable			
61	Review case file for documentation of weekly progress notes.		Substantially Met Partially Met Not Met Not Applicable			
62	Review case file for documentation of health care records.		Substantially Met Partially Met Not Met Not Applicable			
63	Review case file for documentation of 30-day progress reports.		Substantially Met Partially Met Not Met Not Applicable			
64	Review case file for documentation of permanency planning.		Substantially Met Partially Met Not Met Not Applicable			
Score for this section:						

Section 15: Reporting Abuse/Neglect						
The KPRC number shall be posted in a prominent place in the facility. Any employee of the facility who suspects the abuse/neglect of a resident within that facility is to notify the Director of the facility immediately, except in cases where the alleged perpetrator is the facility Director.						
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
65	Review p/p.	Policies, Procedures or Documents	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
66	View posting of KPRC number in the facility.	Tour	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
Score for this section:						

Section 16: Significant Incidents						
A Significant Incident is an unanticipated event which does not rise to the level of a critical incident but has the potential risk of a serious adverse outcome.						
Section 16.1: Significant Incident Reporting						
<p>Significant incidents are to be reported to the youth's CWCMP case manager and the youth's parent or guardian when appropriate per PPM 0513.</p> <p>The following significant incidents shall be verbally reported immediately with a written report to the CWCMP case manager within 24 hours of the event (please refer to the following definitions for clarification):</p> <p>Significant Incident involving a child in the custody of the Secretary include but are not limited to:</p> <ol style="list-style-type: none"> 1. death of a parent/primary caregiver (provide date of death) 2. runaway or missing from placement. PPM 5245 shall be followed. 3. arrested for a juvenile offense 4. alleged abuse or neglect 5. child is an alleged perpetrator or victim of a criminal assault of any kind 6. attempted suicide 7. serious physical illness 8. unanticipated medical attention that requires treatment beyond first aid 9. pregnancy. See PPM 0513 D. 2. 10. birth. See PPM 0513 D. 2. 11. emergency change in placement 12. use of illegal drugs 13. suspension of the license of a group or residential facility used by children 14. alleged victim of human trafficking 15. alleged perpetrator of animal abuse 16. other (document specifics) 17. death of child in care <p>If the significant incident involves abuse, neglect, or exploitation the facility shall also follow mandated reporting requirements.</p> <p>All facilities shall have procedures for reporting significant incidents to administrative staff and recording significant incidents in the resident files. An administrative file of significant incidents shall be kept by facility and a copy of the significant incident shall be placed in the youth's file.</p> <p>An administrative file shall be kept by the facility documenting significant incidents that is separate from the documentation in the youth's file.</p> <p>Each Staff Secure provider shall develop an internal process for obtaining on-call/emergency contact information for all CWCMP case managers in the event of an emergency or significant incident.</p>						
#	Requirement	Source	Findings (Delete the three that don't apply)	Comments	Date Corrections Completed (Or note)	Score
67	Review p/p.	Policies, Procedures or	Substantially Met (1) Partially Met (0.5)			

		Documents	Not Met (0.25) Not Applicable (0)			
68	Review reports of significant incidents.	Case Records	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
69	Check significant incidents log.	Case Records	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
70	Review sample from log for compliance w/ reporting within proper time frame.	Case Records	Substantially Met (1) Partially Met (0.5) Not Met (0.25) Not Applicable (0)			
Score for this section:						

Section 17: Final Scoring	
A cumulation of all the above sections are scored here for a total score based on the assessments completed.	
Scoring:	Substantially Met = 1 Partially Met = 0.5 Not Met = 0.25 Not Applicable = 0
<p>Total possible score on this site visit tool is 75. If the score is 65 or less, the result is an automatic Corrective Action Plan (CAP). Items which do not score substantially met, will require comments which will be used for developing a CAP. Facilities will have 14 days to address the missing items and submit corrections or a plan to make necessary corrections (for example trainings, this can take time to find and schedule). Track CAP corrections on this form and document the date corrections are received.</p>	
Section	Section Score
Section 1.1: Services Provided in a Staff Secure Facility	
Section 2: Description of Youth to be Served	
Section 3: General Staffing Requirements	
Section 4: Case Coordination	
Section 5: Staff In-Serving Training	
Section 5.2: Annual In-Service Training	
Section 6: Confirmation of Placement	
Section 7: Assessments	
Section 7.2 Initial Assessment	
Section 8: Room Assignment	
Section 9: Services	
Section 10: Behavior Management	
Section 10.1 Resetting	
Section 10.2 De-escalation Certification	
Section 10.3: Emergency Safety Interventions Certification	
Section 11: Program Plan	
Section 12: Visitation	
Section 13: Discharge/Aftercare	
Section 14: Record Keeping	
Section 15: Reporting Abuse/Neglect	
Section 16: Significant Incidents	
Total Score	
<p>If the score is 60 or less, the result is an automatic Corrective Action Plan (CAP). Use the comments captured above to develop a CAP on the following pages. The facility will have 14 days to address the missing items and submit corrections or a plan to make necessary corrections, as applicable. Some corrective items may take longer, such as training compliance.</p>	

Staff Secure Facility (SSF) Corrective Action Plan

Date of Site Visit	Final Score on Site Visit Tool	DCF Surveyor:
Facility Name		
Address, City, County, Zip Code		
Agency/Facility Representative	Agency/Facility Phone Number and Email	

Correction/Compliance Action Plan

Presented Findings:

Please use the comments listed on the site scoring tool to outline the presenting corrections and steps needing to be taken to ensure compliance with all DCF Policy and Procedures, Placement Standards and Provide Agreements are met. If more sections are needed, please add accordingly.

Finding:

Action Plan to Correct Finding:

Person Responsible for Completion:

Target Date for Completion:

Finding:

Action Plan to Correct Finding:

Person Responsible for Completion:

Target Date for Completion:

Finding:

Action Plan to Correct Finding:

Person Responsible for Completion:

Target Date for Completion:

Signatures

By signing the Corrective Action Plan I agree what is listed above is accurate and complete. I understand I may be required to provide additional information or modify the plan as needed to ensure full compliance. I further understand this information may be provided to DCF Licensing, if necessary to ensure the safety and well-being of the children and youth served.

Agency/Facility Representative

Date

DCF Surveyor

Date

