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**Pre-IDA: Preparing for interview**

APS Worker Name

Date

Client Name

Primary Language Spoken

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**A. Possible Risks: Check all that the client seems to be facing at this time****Abuse**

- Physical Injury
- Mental Injury
- Sexual Abuse
- Unreasonable use of a physical restraint, isolation or medication
- A threat or menacing conduct

**Neglect**

- By caretaker
- By another person

**Self-Neglect**

- Medical (medical care, leaving AMA, not taking medications, etc.)
- Refusal of community supports
- Failure to thrive
- Inadequate food
- Suicidal
- Eviction
- Unsafe housing (filth, vermin, squalid living conditions, inadequate utilities)

**Financial Exploitation**

- Misappropriation of property
- Intentionally taking unfair advantage of physical or financial resources when the known adult lacks capacity to consent
- Person in position of trust takes money or property not in due and lawful execution of trust or benefit
- Breach of fiduciary duty, the misuse of power of attorney, trust or guardian/conservatorship as it relates to property, income, resources or trust funds
- Use of deception, intimidation, coercion, extortion, or undue influence by a person or entity to obtain or use adult's property, income, resources, or trust funds for profit of such person

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**B. Identified Risk: Circle the risk above that you believe poses the highest level of endangerment**

What wording will you use to describe this risk to the client?

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**C. Introduction: Consider how you will introduce IDA to the client**

For example: *"So let's talk now about some of the decisions that you might be making".*

Or possibly *"Now I'm going to be asking you some important questions having to do with decisions that you might be making. Will that be OK?"*

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**D. Interview Instructions: Use the risk identified in the Pre-IDA for IDA Steps 1, 2, & 3**

# IDA Step 1 - Assessing the Risk in General (Understanding)

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**A. APS worker asks the client if he/she understands that other people confront the identified risk.**

One way to ask: *"I'd like to discuss your thoughts about whether you think that [insert risk] can happen to others?"*

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**B. If the client understands that others can have the risk, APS worker asks the client to explain what could happen if the risk is not addressed.**

One way to ask: *"Suppose someone faces [insert risk], and doesn't do anything about it, what might happen to him/her?"*

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## Worker Judgment

**Do you think the client understands the risk in general? (check one)**

- Yes
- Maybe
- No (If No, stop the interview and speak with your supervisor)

What did the client say that brought you to this judgment? Record the client's own words as closely as possible:

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Describe the client's emotions, reactions, non-verbal gestures:

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# IDA Step 2 - Insight into the Risk on a Personal Level (Appreciation)

## A. APS Worker asks the client if he/she is experiencing this risk.

One way to ask: "I'd like to learn more about **you**. Even though we may have already touched on this in our conversation, do you think **you** are facing [insert risk]?"

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Possible probes: "Can you tell me why?" or "I'd like to know more about your thoughts on this."

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## Worker Judgment

Do you think the client has insight that he/she could personally be experiencing this risk? (check one)

- Yes
- Maybe
- No (If No in IDA Step 3, skip question A and complete only B and C)

What did the client say that brought you to this judgment? Record the client's own words as closely as possible:

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Describe the client's emotions, reactions, non-verbal gestures:

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# IDA Step 3 - Ability to Weigh Advantages/Disadvantages of a Plan for Addressing Risk (Reasoning)

A. APS worker asks if the client has a plan to address the risk.

*"What are your plans to address the possibility of [insert risk]?"*

*"How would that help address the possibility of [insert risk]?"*

B. If the client lacks insight (IDA Step 2) or a workable plan (IDA Step 3A), APS worker suggests an alternative plan to address the risk. *"Would you consider (insert plan) to address the possibility of [insert risk]? Tell me your thoughts."*

C. APS worker asks the client about advantages and disadvantages of a workable plan (from IDA Step 3A or 3B) to address the risk.

*"What would be the **advantages** for you having (insert plan) to address the possibility of [insert risk]?"*

*What would be the **disadvantages** for you having [insert plan] to address the possibility of [insert risk]?"*

## Worker Judgment

Does the client have the ability to weigh the advantages/disadvantages of a plan to address the risk? (check one)

- Yes
- Maybe
- No

What did the client say that brought you to this judgment? Record the client's own words as closely as possible:

Describe the client's emotions, reactions, non-verbal gestures:

# Post-IDA - Next Steps

Risk used for IDA

Estimated time spent conducting this interview

## Summary of Worker Judgments

### Step 1 - Assessing the Risk in General (Understanding)

- Yes
- Maybe
- No

### Step 2 - Insight into the Risk on a Personal Level (Appreciation)

- Yes
- Maybe
- No

### Step 3 - Ability to Weigh Advantages/Disadvantages of a Plan for Addressing Risk (Reasoning)

- Yes
- Maybe
- No

Were there any barriers to completing the IDA (hearing or speech problems, language comprehension, other)?

- Yes
- Maybe
- No

Describe the barriers:

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## Next Steps (check all that apply)

- Use the IDA to help clarify the client's thinking about the risk
- Discuss client's decisional ability with supervisor
- Incorporate IDA into your overall APS Assessment

- Consider referral for professional capacity assessment
- Other (describe on the lines immediately below)

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## Additional Notes

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